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PTO/SB/05 (03-01)
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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No.	44471-293037
Inventors	Fumihiko Ito
Title	Optical Sampling System For Simultaneously Monitoring Intensity Modulation and Frequency Modulation
Express Mail Label No.	EV 041613935 US

22389 U.S.PTO 10/679906 100603

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
   (Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status. See 37 CFR 1.27.
3. ☒ Specification [*Total Pages* 23]
   (preferred arrangement set forth below)
   - Descriptive title of the Invention
   - Cross Reference to Related Applications
   - Statement Regarding Fed sponsored R & D
   - Reference to sequence listing, a table, or a computer program listing appendix
   - Background of the Invention
   - Brief Summary of the Invention
   - Brief Description of the Drawings *(if filed)*
   - Detailed Description
   - Claim(s)
   - Abstract of the Disclosure
4. ☒ Drawing(s) (*35 U.S.C.113*) [*Total Sheets* 2]
5. Oath or Declaration [*Total Pages* 1]
   a. ☒ Newly executed (original or copy)
   b. ☐ Copy from a prior application (37 CFR 1.63 (d))
   (for a continuation/divisional with Box 18 completed)
   i. ☐ DELETION OF INVENTOR(S)
   Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76
7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program *(Appendix)*
8. Nucleotide and/or Amino Acid Sequence Submission *(if applicable, all necessary)*
   a. ☐ Computer Readable Form (CRF)
   b. Specification Sequence Listing on:
      i. ☐ CD-ROM or CD-R (2 copies); or
      ii. ☐ paper
   c. ☐ Statements verifying identity of above copies

ADDRESS TO:

Commissioner for Patents
Mailstop Patent Application
P.O. Box 1450
Alexandria, VA 22313-1450

ACCOMPANYING APPLICATIONS PARTS

9. ☒ Assignment:
   a. ☒ Assignment Papers (cover sheet & document(s))
   b. ☐ Assignment is of record in parent application No.
10. ☐ 37 C.F.R.§3.73(b) Statement *(when there is an assignee)* ☐ Power of Attorney
11. ☐ English Translation Document *(if applicable)*
12. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) *(Should be specifically itemized)*
15. ☒ Certified Copy of Priority Document(s) *(if foreign priority is claimed)*
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other _____

18. If a CONTINUING APPLICATION, *check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:*

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: ___/

Prior application information: *Examiner* ___ *Group / Art Unit:*

Recite complete dependency back to first parent application:

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

☒ *Customer Number* 23370

Name (Print/Type)	Brenda O. Holmes	*Registration No. (Attorney/Agent)*	40,339
Signature	Brenda O. Holmes	*Date*	October 6, 2003

10/06/03 1770 U.S. PTO

FEE TRANSMITTAL

Attorney Docket No. **44471-293037**

This sheet accompanies a patent application transmittal for the following application:

Inventor(s): **Fumihiko Ito**
Filing Date: **October 6, 2003**
Title: **Optical Sampling System For Simultaneously Monitoring Intensity Modulation and Frequency Modulation**

The filing fee is calculated as shown below:

1. **FILING FEE**:

	SMALL ENTITY		LARGE ENTITY	
FOR:	FEE	FEE PAID	FEE	FEE PAID
☒ UTILITY FILING FEE	**$385**		**$770**	**770**
☐ DESIGN FILING FEE	**$170**		**$340**	
☐ PLANT FILING FEE	**$265**		**$530**	
☐ REISSUE FILING FEE	**$385**		**$770**	
☐ PROVISIONAL FILING FEE	**$80**		**$160**	
SUBTOTAL (1)		**$**		**$770**

2. **CLAIMS**:

			SMALL ENTITY		LARGE ENTITY	
FOR:	NO. FILED	NO. EXTRA	RATE	FEE	RATE	FEE
TOTAL CLAIMS	12 - 20 =		x 9 =		x 18 =	
INDEP. CLAIMS	3 - 3 =		x 43 =		x 86 =	
☐ MULTIPLE DEPENDENT CLAIM PRESENTED			+145 =		+290 =	
			SUBTOTAL (2)	**$**		**$0**

3. **ADDITIONAL FEES**:

	SMALL ENTITY		LARGE ENTITY	
FOR:	FEE	FEE PAID	FEE	FEE PAID
☐ LATE FILING, FEE OR OATH	**$65**		**$130**	
☐ NON-ENGLISH SPECIFICATION	**$130**		**$130**	
☐ OTHER				
SUBTOTAL (3)		**$**		**$**

TOTAL FILING FEES: $770.00

A check is enclosed for the total amount: **$770.00**

☒ Charge any additional fees required under 37 C.F.R. 1.16 or 1.17 to Deposit Account 11-0855.

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By: Brenda O. Holmes
Brenda O. Holmes, Attorney for Applicant
Reg. No. 40,339

Date: October 6, 2003